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# CHARACTERIZATION OF THE EFFECTIVE COMPUTABILITY IN f-ENUMERATIONS

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 $P_{yMen}$  Димитров. ХАРАКТЕРИЗАЦИЯ ЭФФЕКТИВНОЙ ВЫЧИСЛИМОСТИ ЧЕРЕЗ Ј-НУМЕРАЦИИ

В начале статьи данны дефиниции понятий ∫-базис, ƒ-нумерация и ƒ-допустимость. Основным результатом является эквивалентность ƒ-допустимых функций и просто вычислимых функций в структуре 𝐧. В конце доказываются три варианта основной теоремы. Первые две дают обобщения части "←" и "⇒" главной теоремы. Третий характеризирует потенциальные ƒ-допустимые функции.

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The main definitions of f-basis, f-enumeration and f-admissibility are given. As a main result the equivalence between f-admissibility and prime computability in  $\mathfrak{N}$  is proved. Finally, three variants of the main theorem are proved. The first two ones are generalizations of the directions " $\Leftarrow$ " and " $\Rightarrow$ " of the main theorem. In the third variant potentially f-admissible functions are concerned.

#### 1. INTRODUCTION

The notion of prime and search computability on abstract structures was introduced by Moschovakis [5] in 1969. An equivalent but more natural definition of prime computability was given by Skordev [8]. An important question is to characterize the prime computable functions on structures with domains the set of all natural numbers N. A well-known result is that all functions which can be

computed using the functions S (successor), P (predecessor) and the predicate Z (zero recognition) are the  $\mu$ -recursive functions. Here we study computability in the structure  $\mathfrak{N} = (N; P; Z)$ .

Our approach is external and is based on the characterizations of abstract computability by means of enumerations. This approach was initiated by Lacombe [4] and studied in [2, 3, 6, 9, 10]. In this paper we study a special class of enumerations of the structure  $\mathfrak{N}$  — the f-enumerations. We prove the equivalence of f-admissibility and prime computability on  $\mathfrak{N}$ . As the set of f-enumerations is a proper subset of all enumerations, where P and Z are effective, in this case the result in one direction is stronger than that proved by Soskov in [10] or in [9].

#### 2. NOTATIONS

Let  $\mathfrak{N}=(N;P;Z)$  be the structure with a domain N, a single operation P(x)=x-1, and a single predicate Z(x) which gives true for x=0 and false otherwise.

Let  $p_i$  be the *i*-th prime number. We write  $(x)_i$  for the primitive recursive function

$$\gamma(x,i) = \begin{cases} \max\left\{t: p_i^t/x\right\} & \text{for } x \neq 0, \\ 0 & \text{for } x = 0, \end{cases}$$

and  $\gamma_0(x)$  for  $\gamma(x,0)$ .

We shall fix a coding () of the finite sequences of natural numbers such that

$$\langle x_1, x_2, \ldots, x_n \rangle = \mu s [s > 0 \& (s)_0 = n \& (s)_1 = x_1 \& \ldots \& (s)_n = x_n],$$

i.e.  $\langle x_1, x_2, \dots x_n \rangle = 2^n . 3^{x_1} \dots p_n^{x_n}$ .

We shall write  $\downarrow f(x_1,\ldots,x_n)$  if  $f(x_1,\ldots,x_n)$  is defined, and  $\uparrow f(x_1,\ldots,x_n)$  if it is not.

#### 3. BASIC DEFINITIONS

**Definition 1.** A set  $A \subseteq N$  is called f-basis if there exists a total function  $\Psi: N \to N$  such that  $A = \{\langle \Psi(0), \dots, \Psi(i-1) \rangle : i \in N\}$ .

**Definition 2.** The ordered pair  $\langle A, \alpha \rangle$  is called f-enumeration if A is an f-basis and  $\alpha = \gamma_0 \upharpoonright A$  (i.e.  $\alpha(\langle x_1, x_2, \ldots, x_n \rangle) = n$ ).

Note. If  $\langle A, \alpha \rangle$  is an f-enumeration, then  $\alpha$  is an 1,1 mapping from A to N.

**Definition 3.** Let  $\alpha: A \to B$  be a surjective mapping, where  $A \subseteq N$ . A function  $f: B^n \longrightarrow B$  is called effective in  $\langle A, \alpha \rangle$  if there exists a partial recursive function  $\varphi: N^n \longrightarrow N$  such that

$$(\forall a_1 \in A) \dots (\forall a_n \in A) (f(\alpha(a_1), \dots, \alpha(a_n)) \simeq \alpha (\varphi(a_1, \dots, a_n))).$$

Remark. It is clear that given a code  $\langle \Psi(0), \ldots, \Psi(i-1) \rangle$  of an *i*-tuple, we can effectively recognize whether i=0 (i.e. whether the sequence is empty), and if  $i \neq 0$ , then we can find the code of the sequence  $\Psi(0), \ldots, \Psi(i-2)$ . It means that

in every f-enumeration P and Z are effective. Notice that in a fixed f-enumeration  $\langle A, \alpha \rangle$  the function S (successor) is effective iff the function  $\Psi$  is recursive.

**Definition 4.** A partial function  $f: N^n \longrightarrow N$  is called f-admissible if it is effective in all f-enumerations.

**Remark.** The definition is correct, because for every f-enumeration  $(A, \alpha)$  the mapping  $\alpha$  is surjective.

### 4. THE MAIN RESULT

Soskov has proved in [11] that a function f is prime computable (see [5]) in the structure  $\mathfrak{N}$  iff it is partial recursive and

$$\forall x_1 \dots \forall x_n \forall y \left( f(x_1, \dots, x_n) = y \longrightarrow y \leq \max(x_1, \dots, x_n) \right),$$

i.e.

$$\forall x_1 \ldots \forall x_n \left( \downarrow f(x_1, \ldots, x_n) \right) \longrightarrow f(x_1, \ldots, x_n) \leq \max(x_1, \ldots, x_n) \right).$$

Here, for the proof of Skordev's conjecture (the main theorem), we are not going to use the prime computability.

**Theorem 1.** A function  $f: N^n \longrightarrow N$  is f-admissible iff it is partial recursive and there exists a natural number c such that the following condition is true:

$$\forall x_1 \ldots \forall x_n (\downarrow f(x_1, \ldots, x_n) \longrightarrow f(x_1, \ldots, x_n) \leq \max(x_1, \ldots, x_n, c)).$$

*Proof.* A. Let f be a partial recursive function and c be a natural number such that

$$\forall x_1 \ldots \forall x_n (\downarrow f(x_1, \ldots, x_n) \longrightarrow f(x_1, \ldots, x_n) \leq \max(x_1, \ldots, x_n, c)).$$

Given an f-enumeration  $\langle A, \alpha \rangle$ , we shall construct a partial recursive function  $\varphi$ , so that

$$f(\alpha(a_1),\ldots,\alpha(a_n))\simeq\alpha(\varphi(a_1,\ldots,a_n))$$

for all  $a_1, \ldots, a_n$  of A. The construction is standard and we shall not go into details. Since  $\alpha$  is an 1,1 mapping from A to N, there exists  $a \in A$  such that  $\alpha(a) = c$ . Fix a and let  $\max : N^n \to N$  be the primitive recursive function such that for all  $a_1, \ldots, a_n$  of A

$$\operatorname{Max}(a_1,\ldots,a_n) = \begin{cases} a_i & \text{if } \gamma_0(a_i) = \max(\gamma_0(a),\gamma_0(a_1),\ldots,\gamma_0(a_n)), \\ a & \text{if } \gamma_0(a) = \max(\gamma_0(a),\gamma_0(a_1),\ldots,\gamma_0(a_n)). \end{cases}$$

Let PRED:  $N \to N$  be the primitive recursive function such that PRED(x) gives the code  $\langle a_0, \ldots, a_{n-1} \rangle$  of the sequence  $a_0, \ldots, a_{n-1}$  if x is the code of the sequence  $a_0, \ldots, a_{n-1}$ ,  $a_n$ , and PRED(x) = x if x is the code of the empty sequence.

Define S1:  $N^2 \rightarrow N$  by the following equations:

$$S1(x, 0) = x$$
,  $S1(x, t + 1) = PRED(S1(x, t))$ .

It is clear that S1 is primitive recursive and for  $x \in A$ 

$$\gamma_0(\mathrm{S1}(x,t)) = \gamma_0(x) - t.$$

Let  $S2: \mathbb{N}^n \longrightarrow \mathbb{N}$  be defined in the following way:

$$S2(a_1,\ldots,a_n) \simeq \mu t \left[ (\gamma_0(S1(Max(a_1,\ldots,a_n),t)) - f(\gamma_0(a_1),\ldots,\gamma_0(a_n))) = 0 \right].$$

Finally, if  $\varphi: N^n \longrightarrow N$  is defined by the equation

$$\varphi(a_1,\ldots,a_n) \simeq S1(Max_n(a_1,\ldots,a_n),S2(a_1,\ldots,a_n)),$$

then it is easy to prove that  $\varphi$  is the function we are looking for.

**B.** In this direction, we shall prove that if f is f-admissible, then f is partial recursive and

$$(*) \quad \exists c \forall a_1 \ldots \forall a_n (\downarrow f(a_1, \ldots, a_n) \longrightarrow f(a_1, \ldots, a_n) \leq \max(a_1, \ldots, a_n, c)).$$

From the result in [9] we can obtain that if f is effective in all enumerations of the structure  $\mathfrak{N}$ , then f is definable in  $\mathfrak{N}$ . Here we require that f be effective only in f-enumerations of  $\mathfrak{N}$  and we prove something equivalent to definability.

First we shall prove that f is partial recursive. Let  $\langle A, \alpha \rangle$  be an f-enumeration, where  $A = \{\langle \Psi(0), \ldots, \Psi(i-1) \rangle : i \in N\}$  and  $\Psi$  is recursive. It is clear from the definitions that  $\alpha$  and  $\alpha^{-1}$  are partial recursive. We know that there exists a partial recursive function  $\varphi$  such that

$$f(\alpha(a_1),\ldots,\alpha(a_n)) \simeq \alpha(\varphi(a_1,\ldots,a_n))$$
 for all  $a_1,\ldots,a_n$  of  $A$ .

Since  $\alpha$  is bijective, we obtain

$$f(x_1,\ldots,x_n) \simeq \alpha \left(\varphi(\alpha^{-1}(x_1),\ldots,\alpha^{-1}(x_n))\right).$$

Hence f is a partial recursive function.

Let us now suppose that (\*) is not true, i.e.

$$(\overline{*}) \quad \forall c \exists a_1 \ldots \exists a_n (\downarrow f(a_1, \ldots, a_n) \& (f(a_1, \ldots, a_n) > \max(a_1, \ldots, a_n, c))).$$

First we shall prove the following

**Lemma.** The set  $\{(x_1,\ldots,x_n): f(x_1,\ldots,x_n) > \max(x_1,\ldots,x_n)\}$  is infinite.

Proof. Suppose  $\left(x_1^{(1)},\ldots,x_n^{(1)}\right),\ldots,\left(x_1^{(k)},\ldots,x_n^{(k)}\right)$  are all elements of this set. Let  $c_1=\max\left(f\left(x_1^{(1)},\ldots,x_n^{(1)}\right),\ldots,f\left(x_1^{(k)},\ldots,x_n^{(k)}\right)\right)$ , and  $c_1=0$  if the set is empty. By  $(\overline{*})$  we can find numbers  $y_1,\ldots,y_n$  such that  $(f(y_1,\ldots,y_n)>\max(y_1,\ldots,y_n,c_1))$ . Then, obviously,  $f(y_1,\ldots,y_n)>\max(y_1,\ldots,y_n)$ , but  $f(y_1,\ldots,y_n)\neq\left(x_1^{(i)},\ldots,x_n^{(i)}\right)$  for all  $i=1,\ldots,k$ . We have supposed that the set is finite and obtained a contradiction.

Let  $\varphi_0, \varphi_1, \ldots$  be a list of all partial recursive functions of n variables.

**Definition 5.** Let  $\langle A, \alpha \rangle$  be an f-enumeration. An *n*-tuple  $(a_1, \ldots, a_n)$ , where  $a_1, \ldots, a_n$  belong to A, is called witness for the condition

(i) 
$$\neg (f(\alpha(a_1),\ldots,\alpha(a_n)) \simeq \alpha(\varphi_i(a_1,\ldots,a_n)))$$

if  $\downarrow f(\alpha(a_1), \ldots, \alpha(a_n))$  and one of the following is true:

1)  $\uparrow \varphi_i(a_1,\ldots,a_n);$ 

2) 
$$\downarrow \varphi_i(a_1,\ldots,a_n)$$
, but  $\varphi_i(a_1,\ldots,a_n) \notin A$ , i.e.  $\uparrow \alpha(\varphi_i(a_1,\ldots,a_n))$ ;

3) 
$$\downarrow \alpha(\varphi_i(a_1,\ldots,a_n))$$
, but  $f(\alpha(a_1),\ldots,\alpha(a_n)) \neq \alpha(\varphi_i(a_1,\ldots,a_n))$ .

An f-basis A that consists of the numbers  $a_0 < a_1 < a_2 < \cdots$  will be defined in steps. In each step l (for  $l = -1, 0, 1, 2, \ldots$ ) we shall define a finite approximation  $A_l = \{a_0, a_1, \ldots, a_{k_l}\}$ . In other words, at the step l the values  $\Psi(i)$  for  $i = 0, \ldots, k_l - 1$  will be defined. In the step (l + 1) we shall build a set  $A_{l+1} \supset A_l$  such that  $A_{l+1}$  will contain a witness for the condition (l + 1). Together with the set A we shall also define a set  $A^-$  such that  $A \cap A^- = \emptyset$ . In each step (l + 1) the condition  $A_{l+1}^- \supseteq A_l^-$  will be met.

We shall prove that the set A is an f-basis. Next, if  $(A, \alpha)$  is an f-enumeration, then we can find a witness for each of the conditions (i), where  $i \in N$ .

Step -1. Let 
$$k_{-1} = 0$$
,  $a_0 = 1$ ,  $A_{-1} = \{1\}$ ,  $A_{-1}^- = \emptyset$ .

Suppose that in step (l) we have built the finite set  $A_l$  and the finite set  $A_l$  which consists of the elements  $a_0, a_1, \ldots, a_{k_l}$ . Suppose  $\Psi(i)$  has been defined for  $i < k_l$ .

Step l+1. We shall define the sets  $A_{l+1}$  and  $A_{l+1}^-$ , so that  $A_{l+1}$  contains a witness for the condition (l+1).

Let  $(k_{l+1}^1, k_{l+1}^2, \ldots, k_{l+1}^n)$  be an n-tuple such that

$$f(k_{l+1}^1,\ldots,k_{l+1}^n) > \max(k_{l+1}^1,\ldots,k_{l+1}^n) > k_l$$

The choice of such n-tuple is possible because the set

$$\{(x_1,\ldots,x_n): (\forall i \leq n)(x_i \leq k_l)\}$$

is finite while the set

$$\{(x_1,\ldots,x_n): f(x_1,\ldots,x_n) > \max(x_1,\ldots,x_n)\}$$

is infinite by the previous Lemma. Let  $k_{l+1}^1 = \max(k_{l+1}^1, \ldots, k_{l+1}^n)$ . Note that  $k_{l+1} > k_l$ . Let  $h = (k_{l+1} - k_l)$ . We shall define  $a_{k_l+1}, \ldots, a_{k_{l+1}}$  and  $\Psi(k_l), \ldots, \Psi(k_{l+1} - 1)$  successively, so that the following is true for  $i = 1, \ldots, h$ :

1) 
$$a_{k_l+i} = 2a_{k_l+i-1}p_{k_l+i}^{\Psi(k_l+i-1)};$$

2)  $a_{k_1+i} \notin A_i^-$ .

The first will ensure that  $a_i = \langle \Psi(0), \dots, \Psi(i-1) \rangle$  for all  $i \in N$  and thus A will be an  $\mathfrak{f}$ -basis. The second will ensure that the requirements  $(1), \dots, (l)$  are not injured for the sake of (l+1).

Since  $A_l^-$  is finite, we can define  $\Psi(k_l+i-1)$  and  $a_{k_l+i}$  successively for  $i=1,\ldots,h$  in the following way:

$$\Psi(k_l+i-1) = \mu t \left[ 2a_{k_l+i-1}p_{k_l+i}^t \notin A_l^- \right] \text{ and } a_{k_l+i} = 2a_{k_l+i-1}p_{k_l+i}^{\Psi(k_l+i-1)}.$$

Note that 1) and 2) are true now.

Let 
$$A_{l+1} = A_l \cup \{a_{k_l+1}, \dots, a_{k_l+1}\}$$
 and

$$A_{l+1}^{-} = \begin{cases} A_{l}^{-} \cup \{\varphi_{l+1}(a_{k_{l+1}^{1}}, \dots, a_{k_{l+1}^{n}})\} & \text{if } \downarrow \varphi_{l+1}(a_{k_{l+1}^{1}}, \dots, a_{k_{l+1}^{n}}) \notin A_{l+1}, \\ A_{l}^{-} & \text{otherwise.} \end{cases}$$

From these definitions we can see that  $A_{l+1}$  and  $A_{l+1}^-$  are finite,  $A_l \subset A_{l+1}$  and  $A_l^- \subseteq A_{l+1}^-$ .

Let  $A = \bigcup_{i=-1}^{\infty} A_i$  and  $A^- = \bigcup_{i=-1}^{\infty} A_i^-$ . Now we have to prove that A is an f-basis and  $\langle A, \alpha \rangle$  ( $\alpha = \gamma_0 \upharpoonright A$ ) is the f-enumeration that we are looking for. It is clear that  $a_0, a_1, \ldots$  are all the elements of A. In the following lemma we prove that A is an f-basis.

**Lemma 1.** 
$$a_i = \langle \Psi(0), \ldots, \Psi(i-1) \rangle$$
 for  $i \in N$ .

*Proof.* Using the definitions, we can prove Lemma 1 easily by induction.

Next we shall see that  $A^- \cap A = \emptyset$ . For this purpose we shall prove

**Lemma 2.** 
$$A_k^- \cap A_k = \emptyset$$
 for all  $k \ge -1$ .

Proof. An induction is applied.

For k = -1 we know that  $A_{-1} = \{a_0\}$  and  $A_{-1}^- = \emptyset$  and obviously the statement is true.

Let suppose that for some natural l we have  $A_l^- \cap A_l = \emptyset$ . By construction  $A_{l+1} = A_l \cup \{a_{k_l+1}, \ldots, a_{k_{l+1}}\}$  and  $a_{k_l+1}, \ldots, a_{k_{l+1}} \notin A_l^-$ . Using the induction hypothesis we derive that  $A_l^- \cap A_{l+1} = \emptyset$ . If  $A_l^- = A_{l+1}^-$ , then there is nothing to prove. Else

$$A_{l+1}^- = A_l^- \cup \left\{ \varphi_{l+1}(a_{k_{l+1}^1}, \dots, a_{k_{l+1}^n}) \right\} \quad \text{and} \quad \varphi_{l+1}(a_{k_{l+1}^1}, \dots, a_{k_{l+1}^n}) \notin A_{l+1}.$$

In this case it is obvious that  $A_{l+1}^- \cap A_{l+1} = \emptyset$ .

Now we are ready to prove

Lemma 3. 
$$A \cap A^- = \emptyset$$
.

*Proof.* Suppose that there exists a number a such that  $a \in A \cap A^-$ . We can find i and j such that  $a \in A_i$  and  $a \in A_j^-$ . If  $k = \max(i, j)$ , then  $A_k^- \cap A_k \neq \emptyset$ , which contradicts Lemma 2.

We shall see next that for each  $i \in N$   $(a_{k_i^1}, \ldots, a_{k_i^n})$  is a witness for the condition (i). Let i be a fixed natural number. The n-tuple  $(k_i^1, k_i^2, \ldots, k_i^n)$  has been chosen in such a way that  $f(k_i^1, \ldots, k_i^n) > \max(k_i^1, \ldots, k_i^n) = k_i$ . Note that  $f(\alpha(a_{k_i^1}), \ldots, \alpha(a_{k_i^n}))$  is defined. We shall consider the following cases for  $\varphi_i(a_{k_i^1}, \ldots, a_{k_i^n})$ :

$$1. \downarrow \varphi_i(a_{k_1}, \ldots, a_{k_i}) \in A_i.$$

Since  $A_i = \{a_0, \ldots, a_{k_i}\}$ , then

$$(\forall a \in A_i)(\alpha(a) = \gamma_0(a) \leq k_i)$$
 and  $\alpha(\varphi_i(a_{k_i^1}, \ldots, a_{k_i^n})) \leq k_i$ .

We know that  $f(k_i^1, \ldots, k_i^n) > k_i$  and hence

$$\alpha(\varphi_i(a_{k_i^1},\ldots,a_{k_i^n})) \neq f(\alpha(a_{k_i^1}),\ldots,\alpha(a_{k_i^n})),$$

i.e.  $(a_{k_i^1}, \ldots, a_{k_i^n})$  is a witness for the condition (i) by 3) of Definition 5.

2. 
$$\uparrow \varphi_i(a_{k_1^1},\ldots,a_{k_i^n})$$
.

Since  $\uparrow \alpha(\varphi_i(a_{k_i^1}, \ldots, a_{k_i^n}))$ , we obtain that  $(a_{k_i^1}, \ldots, a_{k_i^n})$  is a witness for the condition (i) by 1) of Definition 5.

$$3. \downarrow \varphi_i(a_{k_i^1}, \ldots, a_{k_i^n}), \text{ but } \varphi_i(a_{k_i^1}, \ldots, a_{k_i^n}) \notin A_i.$$

In this case  $\varphi_i(a_{k_i^1},\ldots,a_{k_i^n}) \in A_i^- \subseteq A^-$ . By Lemma  $3 A \cap A^- = \emptyset$  and hence  $\varphi_i(a_{k_i^1},\ldots,a_{k_i^n}) \notin A$ . We obtain  $\uparrow \alpha(\varphi_i(a_{k_i^1},\ldots,a_{k_i^n}))$  and then  $(a_{k_i^1},\ldots,a_{k_i^n})$  is a witness for the condition (i) by 2) of Definition 5.

We have derived that  $(a_{k_i^1}, \ldots, a_{k_i^n})$  is a witness for the condition (i) and the theorem is proved.

**Remark 1.** In the construction of the set A we have not used the partial recursiveness of f.

**Remark 2.** The construction of the set  $A^-$  could be avoided because the requirement 2) (i.e.  $a_{k_{l+1}^n} \notin A_l^-$ ) in step (l+1) of the construction of the set A may be changed by the condition:

if for some 
$$l_1 \leq l \quad y = \varphi_{l_1}(a_{k_{l_1}^1}, \dots, a_{k_{l_1}^n})$$
, then  $a_{k_{l+1}^n} \neq y$ .

#### 5. THREE VARIANTS OF THE THEOREM

First we shall prove a stronger result than that proved in the direction "\( = \)" of the main result.

**Definition 6.** Let  $\alpha: A \to B$  be a surjective mapping, where  $A \subseteq N$ . A predicate  $P(x_1, x_2, \ldots, x_n)$  on B is called effective in the enumeration  $\langle A, \alpha \rangle$  if there exists a partial recursive function  $\varphi: N^n \longrightarrow \{0, 1\}$  such that

$$(\forall a_1 \in A) \dots (\forall a_n \in A)(\downarrow \varphi(a_1, \dots, a_n) \& (P(\alpha(a_1), \dots, \alpha(a_n)) \Leftrightarrow \varphi(a_1, \dots, a_n) = 1)).$$

Theorem 2. If f is a function such that

$$\exists c \forall x_1 \ldots \forall x_n (\downarrow f(x_1, \ldots, x_n) \rightarrow f(x_1, \ldots, x_n) \leq \max(x_1, \ldots, x_n, c)),$$

then f is effective in all enumerations of the structure  $\mathfrak{N}$ .

*Proof.* First we shall note that enumerations of the structure  $\mathfrak{N}$  are those for which the functions and predicated of the structure are effective. Obviously, the f-enumerations are enumerations of  $\mathfrak{N}$ .

Let  $(A, \alpha)$  be an enumeration of  $\mathfrak{N}$ . Let  $Z: N \longrightarrow \{0, 1\}$  and PRED:  $N \longrightarrow N$  be partial recursive functions such that for all  $a \in A$ :

1) 
$$\alpha(PRED(a)) \simeq \alpha(a) - 1$$
;

2) 
$$Z(a) = \begin{cases} 1 & \text{if } \alpha(a) = 0, \\ 0 & \text{if } \alpha(a) \neq 0. \end{cases}$$

Obviously,  $\downarrow \text{PRED}(a) \in A \text{ and } \downarrow Z(a) \text{ for all } a \in A. \text{ Thus } \downarrow Z(\text{PRED}^t(a)) \text{ for all } a \in A \text{ and } t \in N.$ 

Now we shall see that there exists a partial recursive function  $\gamma$  such that  $\gamma \upharpoonright A = \alpha$ . Let us define  $\gamma : N \longrightarrow N$  in the following way:

$$\gamma(x) \simeq \mu t \left[ Z(PRED^t(x)) = 1 \right].$$

We shall prove that  $\gamma(a) = \alpha(a)$  for all  $a \in A$ , i.e.

(1) 
$$\alpha(a) = \mu t \left[ Z(PRED^{t}(a)) = 1 \right].$$

Since  $\downarrow \alpha(a) \in N$  for  $a \in A$ , then (1) could be proved by induction on  $\alpha(a)$ . The proof of this fact is left to the reader.

We are looking for a partial recursive function  $\varphi: \mathbb{N}^n \longrightarrow \mathbb{N}$  such that

$$(\forall a_1 \in A) \dots (\forall a_n \in A)(f(\alpha(a_1), \dots, \alpha(a_n)) \simeq \alpha(\varphi(a_1, \dots, a_n))).$$

The construction of  $\varphi$  is the same as the construction of the function  $\varphi$  in the proof of the main result.

In the proof of the main result we observed that if

$$\forall c \exists x_1 \ldots \exists x_n (\downarrow f(x_1, \ldots, x_n) \& f(x_1, \ldots, x_n) > \max(x_1, \ldots, x_n, c)),$$

then there exists an f-enumeration  $\langle A, \alpha \rangle$  such that f is not effective in  $\langle A, \alpha \rangle$ . We built the set A in steps. In each step we built a finite approximation of A. We shall analyze that construction and obtain a stronger result than the one proved in the direction " $\Rightarrow$ " of Theorem 1. We have noted that the construction of A could be modified in such a way that the use of  $A^-$  be avoided. We shall use that f is partial recursive and modify the construction of A in the following way.

On the step (l+1) we define effectively a code of an n-tuple  $(k_{l+1}^1, k_{l+1}^2, \ldots, k_{l+1}^n)$  such that

$$f(k_{l+1}^1,\ldots,k_{l+1}^n) > \max(k_{l+1}^1,\ldots,k_{l+1}^n) > k_l.$$

Later we define the recursive function  $S: N \to N$  such that S(l+1) gives the code of the *n*-tuple  $(k_{l+1}^1, k_{l+1}^2, \ldots, k_{l+1}^n)$ , which was defined on step (l+1).

Let F be a primitive recursive function such that

$$(x_1, x_2, ..., x_n, y) \in G_f \Leftrightarrow \exists z (F(x_1, x_2, ..., x_n, y, z) = 0)$$

and let  $g(x_1, x_2, ..., x_n, t) = F(x_1, x_2, ..., x_n, L(t), R(t)).$ 

We know that f has the normal form

$$f(x_1, x_2, \ldots, x_n) \simeq L(\mu t[g(x_1, x_2, \ldots, x_n, t) = 0]).$$

Let J be a standard coding of ordered pairs in N. We denote

$$J^2 = J$$
,  $J^{n+1}(x_1, x_2, \dots, x_n, x_{n+1}) = J(J^n(x_1, x_2, \dots, x_n), x_{n+1})$  for  $n > 2$ .

Let  $\Pr_m^n$   $(m \leq n)$  be a primitive recursive function such that

$$\Pr_m^n(J^n(x_1,x_2,\ldots,x_n))=x_m$$

(for n = 2 we write L for  $Pr_1^2$  and R for  $Pr_2^2$ ).

Define the functions  $S: N \to N$  and  $M: N \to N$  as it follows:

1) 
$$S(-1) = 0$$
 and  $M(-1) = 0$ ;

2) 
$$S(l+1) = L(\mu s[g(\Pr_{1}^{n+1}(s), \Pr_{2}^{n+1}(s), \dots, \Pr_{n}^{n+1}(s), \Pr_{n+1}^{n+1}(s))) = 0$$
  
&  $L(\Pr_{n+1}^{n+1}(s)) > \max(\Pr_{1}^{n+1}(s), \Pr_{2}^{n+1}(s), \dots, \Pr_{n}^{n+1}(s))$   
&  $\max(\Pr_{1}^{n+1}(s), \Pr_{2}^{n+1}(s), \dots, \Pr_{n}^{n+1}(s)) > M(l)])$  and  $M(l+1) = \max(\Pr_{1}^{n}(S(l+1)), \Pr_{2}^{n}(S(l+1)), \dots, \Pr_{n}^{n}(S(l+1))).$ 

**Remark.** We shall define S and M for n = -1 in order to unify the definitions of S and M for n = 0 and n > 0, but we shall think that they are defined only for  $n \ge 0$ .

By induction we shall prove that S and M are totally defined.

- 1. For l = -1 we have S(-1) = M(-1) = 0.
- 2. Let  $\downarrow S(l)$  and  $\downarrow M(l)$  for some natural l.
- 3. We know that the set  $\{(x_1,\ldots,x_n): f(x_1,\ldots,x_n) > \max(x_1,\ldots,x_n)\}$  is infinite and there exists  $(x_1,\ldots,x_n)$  such that

$$\downarrow f(x_1,\ldots,x_n) > \max(x_1,\ldots,x_n) > M(l).$$

Using the normal form of f, we derive that there exists s such that

$$g(\Pr_{1}^{n+1}(s), \Pr_{2}^{n+1}(s), \dots, \Pr_{n}^{n+1}(s), \Pr_{n+1}^{n+1}(s)) = 0$$
&  $L(\Pr_{n+1}^{n+1}(s)) > \max(\Pr_{1}^{n+1}(s), \Pr_{2}^{n+1}(s), \dots, \Pr_{n}^{n+1}(s))$ 
&  $\max(\Pr_{1}^{n+1}(s), \Pr_{2}^{n+1}(s), \dots, \Pr_{n}^{n+1}(s)) > M(l)$ .

From here we can easily see that  $\downarrow S(l+1)$  and then  $\downarrow M(l+1)$ . Thus, using the definition of the functions S and M, we derive that they are recursive. We can see that S(l+1) is the code of the n-tuple  $(k_{l+1}^1, k_{l+1}^2, \ldots, k_{l+1}^n)$ , which was defined on step (l+1), and that  $M(l+1) = \max(k_{l+1}^1, k_{l+1}^2, \ldots, k_{l+1}^n) = k_{l+1}$ .

Let  $\mathfrak{F}$  be a universal for the partial recursive functions and recursive predicate such that:  $\varphi_l(x_1,\ldots,x_n)=y \Leftrightarrow \exists z\mathfrak{F}(l,x_1,\ldots,x_n,y,z)$ . We shall define a predicate  $\mathfrak{B}(x)$  such that  $\mathfrak{B}(x) \Leftrightarrow x \in A$ . For this purpose first we shall define the predicate  $\mathfrak{C}_0$  in the following way:

$$\mathfrak{C}_{0}(s, x, i) \Leftrightarrow \forall l_{1} \leq \mu l[(M(l) < ((x)_{0} - i)) \& (((x)_{0} - i)) \leq M(l + 1))], 
(\forall y \forall z (\mathfrak{F}(l_{1}, PRED^{(x)_{0} + Pr_{1}^{n}(S(l_{1}))}(x), \dots, PRED^{(x)_{0} + Pr_{n}^{n}(S(l_{1}))}(x), y, z) 
\longrightarrow (2 PRED^{i+1}(x) p_{(x)_{0} + i}^{s} \neq y))).$$

Next define the predicate  $\mathfrak{C}_1$  as it follows:

$$\mathfrak{C}_1(s,x,i) \Leftrightarrow \mathfrak{C}_0(s,x,i) \& \forall s_1 < s \neg \mathfrak{C}_0(s_1,x,i).$$

Note that if  $x = \langle a_1, \ldots, a_j \rangle$  (j > i), then  $\mathfrak{C}_1(s, x, i)$  is true if and only if s is defined just the way  $\Psi(j - i - 1)$  is defined in the construction of the set A in the main theorem.

By the expression  $(M(l) < ((x)_0 - i)) \& (((x)_0 - i) \le M(l+1))$  we find a number l such that (l+1) is the number of the step, where  $PRED^i(x)$  is defined. Then for all  $l_1 \le l$  we calculate the code of the first n-tuple  $(k_{l_1}^1, k_{l_1}^2, \ldots, k_{l_1}^n)$  (i.e.  $S(l_1)$ ) such that

$$f(k_{l_1}^1, k_{l_1}^2, \ldots, k_{l_1}^n) > \max(k_{l_1}^1, k_{l_1}^2, \ldots, k_{l_1}^n).$$

Further we find the least s such that for all  $l_1 \leq l$  if  $\varphi_{l_1}(x_{k_{l_1}^1}, \ldots, x_{k_{l_1}^n}) = y$ , then  $2 \operatorname{PRED}^{i+1}(x) p_{(x)_0-i}^s \neq y$ .

Since M and S are recursive functions and  $\mathfrak{F}$  is a recursive predicate, there exists a recursive predicate  $\mathfrak{P}_1$  such that

$$\mathfrak{C}_1(s,x,i) \Leftrightarrow \forall q_1 \mathfrak{P}_1(s,x,i,q_1) \& (\forall s_1 < s) \neg \forall q_2 \mathfrak{P}_1(s_1,x,i,q_2),$$

i.e.

$$\mathfrak{C}_{1}(s, x, i) \iff \forall q_{1}\mathfrak{P}_{1}(s, x, i, q_{1}) \& \exists q_{3}\mathfrak{P}_{2}(s, x, i, q_{3}),$$

where  $\mathfrak{P}_2$  is again a recursive predicate.

Let us define 3 in the following way:

$$\mathfrak{B}(x) \Leftrightarrow (\text{PRED}^{(x)_0}(x) = 1 \& (\forall i < (x)_0) (\mathfrak{C}_1((x)_{((x)_0 - i)}, x, i)).$$

Let notice that  $\mathfrak{B}(x)$  is true if and only if  $x = 2^n \cdot 3^{\Psi(0)} \cdot ... \cdot p_n^{\Psi(n-1)}$ , where  $\Psi(0)$ ,  $\Psi(1), \ldots, \Psi(n-1)$  are exactly like those ones, defined in the construction of the set A in the proof of the main result. In other words,  $\mathfrak{B}(x) \Leftrightarrow x \in A$ .

Using (\*\*), we can find recursive predicates  $\mathfrak{P}_3$  and  $\mathfrak{P}_4$  such that

$$x \in A \Leftrightarrow \mathfrak{B}(x) \Leftrightarrow \forall q_4 \mathfrak{P}_3(q_4, x) \& \exists q_5 \mathfrak{P}_4(q_5, x).$$

The set  $\{x: \exists q_5p_4(q_5, x)\}$  is recursively enumerable. The set  $\{x: \forall q_4p_3(q_4, x)\}$  is co-recursively enumerable. Thus the set A could be represented as a difference of two recursively enumerable sets. We proved the following result:

**Theorem 3.** If the function  $f: N \longrightarrow N$  is effective in every f-enumeration  $\langle A, \alpha \rangle$  such that A can be represented as an intersection of a recursively enumerable and a co-recursively enumerable set, then f is partial recursive and there exists  $c \in N$  such that

$$\forall x_1 \ldots \forall x_n (\downarrow f(x_1, \ldots, x_n) \longrightarrow f(x_1, \ldots, x_n) \leq \max(x_1, \ldots, x_n, c))$$

For the proof of the main theorem we have defined the term witness for the condition  $(i) \neg (f(\alpha(a_1), \ldots, \alpha(a_n)) \simeq \alpha(\varphi_i(a_1, \ldots, a_n)))$ , where the left-hand side of this conditional equality was defined for every witness. Now we shall use this fact to prove another variant of the main theorem.

**Definition 7.** If  $\alpha: A \to B$ , where  $A \subseteq N$  is a surjective mapping, then  $f: B^n \longrightarrow B$  is said to be potentially effective in the enumeration  $\langle A, \alpha \rangle$  if there exists a partial recursive function  $\varphi: N^n \longrightarrow N$  such that

$$(\forall a_1 \in A) \dots (\forall a_n \in A) (\downarrow f(\alpha(a_1), \dots, \alpha(a_n)) \longrightarrow \downarrow \alpha(\varphi(a_1, \dots, a_n))$$
  
&  $f(\alpha(a_1), \dots, \alpha(a_n)) = \alpha(\varphi(a_1, \dots, a_n))$ .

**Definition 8.**  $f: \mathbb{N}^n \longrightarrow \mathbb{N}$  is called potentially f-admissible if f is potentially effective in all f-enumerations.

**Theorem 4.** A function  $f: N \longrightarrow N$  is potentially f-admissible if and only if f is potentially partial recursive and there exists  $c \in N$  such that

$$\forall x_1 \ldots \forall x_n (\downarrow f(x_1, \ldots, x_n) \longrightarrow f(x_1, \ldots, x_n) \leq \max(x_1, \ldots, x_n, c)).$$

*Proof.* A. Let  $\langle A, \alpha \rangle$  be a functional enumeration, f be potentially partial recursive, and let exist  $c \in N$  such that

$$\forall x_1 \dots \forall x_n (\downarrow f(x_1, \dots, x_n) \longrightarrow f(x_1, \dots, x_n) \leq \max(x_1, \dots, x_n, c)).$$

We shall find a partial recursive function  $\varphi$  such that

$$(\forall a_1 \in A) \dots (\forall a_n \in A)(\downarrow f(\alpha(a_1), \dots, \alpha(a_n)) \longrightarrow \downarrow \alpha(\varphi(a_1, \dots, a_n))$$
  
&  $f(\alpha(a_1), \dots, \alpha(a_n)) = \alpha(\varphi(a_1, \dots, a_n))$ .

The construction of the function  $\varphi$  is the same as the construction of  $\varphi$  in the proof of the main result, but here instead of the function f we shall use its partial recursive continuation.

**B.** In the direction " $\Rightarrow$ " for the proof that f is potentially partial recursive we can see that  $\alpha(\varphi(\alpha^{-1}(x_1), \ldots, \alpha^{-1}(x_n)))$  is a partial recursive continuation of f. For the proof that  $\exists c \in N$  such that

$$\forall x_1 \ldots \forall x_n (\downarrow f(x_1, \ldots, x_n) \longrightarrow f(x_1, \ldots, x_n) \leq \max(x_1, \ldots, x_n, c))$$

we can construct a set A just the way we built it in the main theorem. We can see that  $\downarrow f(\alpha(x_{k_1}), \ldots, \alpha(x_{k_r}))$ , but either

$$\uparrow \alpha(\varphi_i(x_{k_i^1},\ldots,x_{k_i^n}))$$

or

$$(\downarrow \alpha(\varphi_i(x_{k_i^1},\ldots,x_{k_i^n})) \& \alpha(\varphi_i(x_{k_i^1},\ldots,x_{k_i^n})) \neq f(\alpha(x_{k_i^1}),\ldots,\alpha(x_{k_i^n})))$$

for all natural i. Thus there exists  $\varphi$  such that

$$(\forall a_1 \in A) \dots (\forall a_n \in A)(\downarrow f(\alpha(a_1), \dots, \alpha(a_n)) \longrightarrow \downarrow \alpha(\varphi(a_1, \dots, a_n))$$
  
&  $f(\alpha(a_1), \dots, \alpha(a_n)) = \alpha(\varphi(a_1, \dots, a_n))$ .

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